

Occurrence graphs of patterns in permutations

Permutation Patterns 2016

Bjarni Jens Kristinsson, Henning Ulfarsson



June 2016

Table of Contents

1. Background
 - ▶ Graphs
 - ▶ Permutations
2. Occurrence graphs
 - ▶ Definition of occurrence graphs
3. Some results
 - ▶ Main theorem
 - ▶ Examples
 - ▶ Non-examples

Simple graphs

A *simple graph* G consists of

Simple graphs

A *simple graph* G consists of

- ▶ a set of *vertices* V and

Simple graphs

A *simple graph* G consists of

- ▶ a set of *vertices* V and
- ▶ a set of *edges* E

Simple graphs

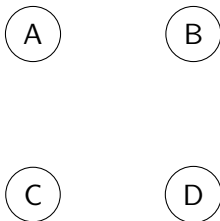
A *simple graph* G consists of

- ▶ a set of *vertices* V and
- ▶ a set of *edges* E
- ▶ no loops, or multiple edges

Simple graphs

A *simple graph* G consists of

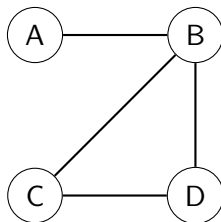
- ▶ a set of *vertices* V and
- ▶ a set of *edges* E
- ▶ no loops, or multiple edges



Simple graphs

A *simple graph* G consists of

- ▶ a set of *vertices* V and
- ▶ a set of *edges* E
- ▶ no loops, or multiple edges

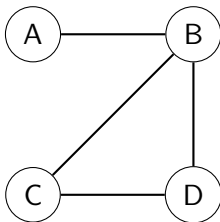


Subgraphs

- ▶ A *subgraph* is a subset of the graph

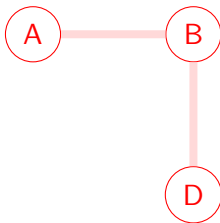
Subgraphs

- ▶ A *subgraph* is a subset of the graph



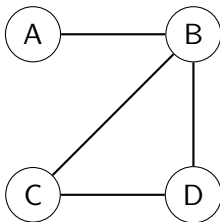
Subgraphs

- ▶ A *subgraph* is a subset of the graph



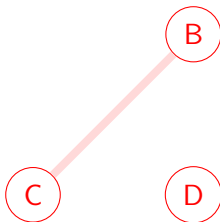
Subgraphs

- ▶ A *subgraph* is a subset of the graph



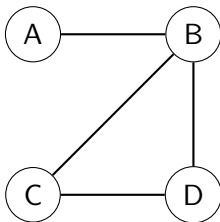
Subgraphs

- ▶ A *subgraph* is a subset of the graph



Subgraphs

- ▶ A *subgraph* is a subset of the graph



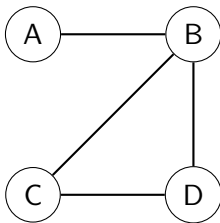
Subgraphs

- ▶ A *subgraph* is a subset of the graph



Subgraphs

- ▶ A *subgraph* is a subset of the graph
- ▶ An *induced subgraph* is the subgraph induced by a subset of vertices with corresponding edges



Subgraphs

- ▶ A *subgraph* is a subset of the graph
- ▶ An *induced subgraph* is the subgraph induced by a subset of vertices with corresponding edges

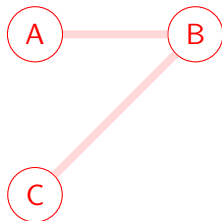


Figure: The induced subgraph of the vertices A, B, C

Grid plot

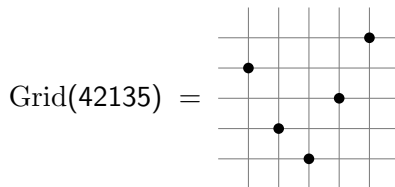
A *grid plot* or *grid representation* is a visualization of a permutation.

Grid plot

A *grid plot* or *grid representation* is a visualization of a permutation. For example, let $\pi = 42135$, then

Grid plot

A *grid plot* or *grid representation* is a visualization of a permutation. For example, let $\pi = 42135$, then

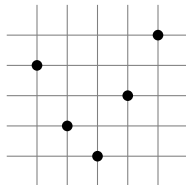


Pattern containment and occurrences

We say that a permutation π *contains* a smaller permutation p (called a *(classical permutation) pattern*) if there exists a substring of π such that the standardisation of it is equal to p

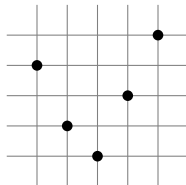
Pattern containment and occurrences

We say that a permutation π *contains* a smaller permutation p (called a *classical permutation pattern*) if there exists a substring of π such that the standardisation of it is equal to p



Pattern containment and occurrences

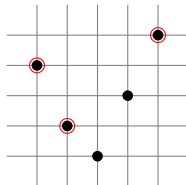
We say that a permutation π *contains* a smaller permutation p (called a (*classical permutation*) *pattern*) if there exists a substring of π such that the standardisation of it is equal to p



For example, let $\pi = 42135$.

Pattern containment and occurrences

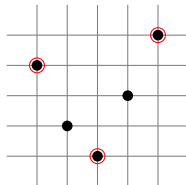
We say that a permutation π *contains* a smaller permutation p (called a *classical permutation pattern*) if there exists a substring of π such that the standardisation of it is equal to p



For example, let $\pi = 42135$. π contains $p = 213$ because $\text{st}(425) = 213$,

Pattern containment and occurrences

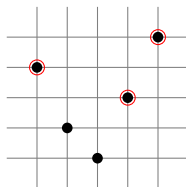
We say that a permutation π *contains* a smaller permutation p (called a *classical permutation pattern*) if there exists a substring of π such that the standardisation of it is equal to p



For example, let $\pi = 42135$. π contains $p = 213$ because $\text{st}(425) = 213$, $\text{st}(415) = 213$,

Pattern containment and occurrences

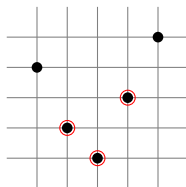
We say that a permutation π *contains* a smaller permutation p (called a *classical permutation pattern*) if there exists a substring of π such that the standardisation of it is equal to p



For example, let $\pi = 42135$. π contains $p = 213$ because $\text{st}(425) = 213$, $\text{st}(415) = 213$, $\text{st}(435) = 213$,

Pattern containment and occurrences

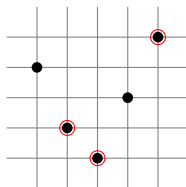
We say that a permutation π *contains* a smaller permutation p (called a *classical permutation pattern*) if there exists a substring of π such that the standardisation of it is equal to p



For example, let $\pi = 42135$. π contains $p = 213$ because $\text{st}(425) = 213$, $\text{st}(415) = 213$, $\text{st}(435) = 213$, $\text{st}(213) = 213$ and

Pattern containment and occurrences

We say that a permutation π *contains* a smaller permutation p (called a *classical permutation pattern*) if there exists a substring of π such that the standardisation of it is equal to p



For example, let $\pi = 42135$. π contains $p = 213$ because $\text{st}(425) = 213$, $\text{st}(415) = 213$, $\text{st}(435) = 213$, $\text{st}(213) = 213$ and $\text{st}(215) = 213$

Pattern containment and occurrences (continued)

- ▶ The substrings 425, 415, 435, 213 and 215 are the *occurrences* of 213 in 42135

Pattern containment and occurrences (continued)

- ▶ The substrings 425, 415, 435, 213 and 215 are the *occurrences* of 213 in 42135
- ▶ The corresponding *index sets* are $\{1, 2, 5\}$, $\{1, 3, 5\}$, $\{1, 4, 5\}$, $\{2, 3, 4\}$, $\{2, 3, 5\}$

Pattern containment and occurrences (continued)

- ▶ The substrings 425, 415, 435, 213 and 215 are the *occurrences* of 213 in 42135
- ▶ The corresponding *index sets* are $\{1, 2, 5\}$, $\{1, 3, 5\}$, $\{1, 4, 5\}$, $\{2, 3, 4\}$, $\{2, 3, 5\}$
- ▶ The set of all index sets of $p = 213$ in $\pi = 42135$ is the *occurrence set* of p in π , denoted with $V_p(\pi)$

1. Background

- ▶ Graphs
- ▶ Permutations

2. Occurence graphs

- ▶ Definition of occurence graphs

3. Some results

- ▶ Main theorem
- ▶ Examples
- ▶ Non-examples

Definition of occurrence graphs

For a pattern $p \in \mathfrak{S}_k$ and for a permutation $\pi \in \mathfrak{S}_n$ we define the *occurrence graph* $G_p(\pi)$ of p in π in the following way:

Definition of occurrence graphs

For a pattern $p \in \mathfrak{S}_k$ and for a permutation $\pi \in \mathfrak{S}_n$ we define the *occurrence graph* $G_p(\pi)$ of p in π in the following way:

- ▶ The set of vertices is $V_p(\pi)$, the occurrence set of p in π

Definition of occurrence graphs

For a pattern $p \in \mathfrak{S}_k$ and for a permutation $\pi \in \mathfrak{S}_n$ we define the *occurrence graph* $G_p(\pi)$ of p in π in the following way:

- ▶ The set of vertices is $V_p(\pi)$, the occurrence set of p in π
- ▶ uv is an edge in $G_p(\pi)$ if the vertices $u = \{u_1, \dots, u_k\}$ and $v = \{v_1, \dots, v_k\}$ in $V_p(\pi)$ differ by exactly one element, i.e. if

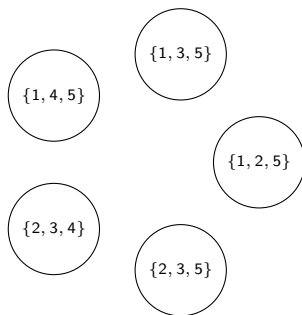
$$|u \setminus v| = |v \setminus u| = 1$$

An example of an occurrence graph

In previous example we derived the occurrence set $V_{213}(42135)$

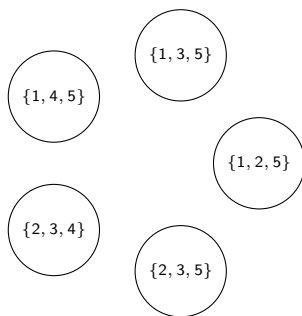
An example of an occurrence graph

In previous example we derived the occurrence set $V_{213}(42135)$



An example of an occurrence graph

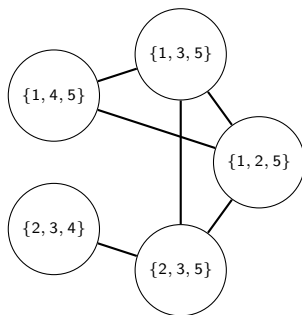
In previous example we derived the occurrence set $V_{213}(42135)$



We compute the edges of $G_{213}(42135)$ by comparing the vertices two at a time to see if the sets differ by exactly one element

An example of an occurrence graph

In previous example we derived the occurrence set $V_{213}(42135)$



We compute the edges of $G_{213}(42135)$ by comparing the vertices two at a time to see if the sets differ by exactly one element

The identity permutation and $p = 12$

Let id_n be the identity permutation of length n . The graph $G_{12}(\text{id}_n)$ has

The identity permutation and $p = 12$

Let id_n be the identity permutation of length n . The graph $G_{12}(\text{id}_n)$ has

- ▶ $\binom{n}{2}$ vertices

The identity permutation and $p = 12$

Let id_n be the identity permutation of length n . The graph $G_{12}(\text{id}_n)$ has

- ▶ $\binom{n}{2}$ vertices
- ▶ $3\binom{n}{3}$ edges

The identity permutation and $p = 12$

Let id_n be the identity permutation of length n . The graph $G_{12}(\text{id}_n)$ has

- ▶ $\binom{n}{2}$ vertices
- ▶ $3\binom{n}{3}$ edges
- ▶ $(n-2)\binom{n}{3}$ triangles

The identity permutation and $p = 12$

Let id_n be the identity permutation of length n . The graph $G_{12}(\text{id}_n)$ has

- ▶ $\binom{n}{2}$ vertices
- ▶ $3\binom{n}{3}$ edges
- ▶ $(n-2)\binom{n}{3}$ triangles
- ▶ $n\binom{n-1}{k}$ k -cliques with $k > 3$

The identity permutation and $p = 12$

Let id_n be the identity permutation of length n . The graph $G_{12}(\text{id}_n)$ has

- ▶ $\binom{n}{2}$ vertices
- ▶ $3\binom{n}{3}$ edges
- ▶ $(n-2)\binom{n}{3}$ triangles
- ▶ $n\binom{n-1}{k}$ k -cliques with $k > 3$

because such a clique can be formed by choosing an index i among $1, \dots, n$ to be the shared index in all the vertices in the clique. The remaining indices can be chosen from $\{1, \dots, n\} \setminus i$

1. Background

- ▶ Graphs
- ▶ Permutations

2. Occurrence graphs

- ▶ Definition of occurrence graphs

3. Some results

- ▶ Main theorem
- ▶ Examples
- ▶ Non-examples

Hereditary property

We call a property of a graph G *hereditary* if it is invariant under isomorphisms and for every subgraph of G the property also holds

Hereditary property

We call a property of a graph G *hereditary* if it is invariant under isomorphisms and for every subgraph of G the property also holds

For example the properties of being a forest, bipartite, planar or k -colorable are hereditary properties. The property of being a tree is not hereditary

Hereditary property

We call a property of a graph G *hereditary* if it is invariant under isomorphisms and for every subgraph of G the property also holds

For example the properties of being a forest, bipartite, planar or k -colorable are hereditary properties. The property of being a tree is not hereditary

Let c be a property of graphs and let

$$\mathcal{G}_{p,c} = \{\pi \in \mathfrak{S}: G_p(\pi) \text{ has property } c\}$$

Main theorem

Theorem

Let c be a hereditary property of graphs. For any pattern p the set $\mathcal{G}_{p,c}$ is a permutation class, i.e. there is a set of classical permutation patterns M such that

$$\mathcal{G}_{p,c} = \text{Av}(M)$$

Bipartite graphs

Table: Experiments for bipartite occurrence graphs. (Up to length 8)

p	basis	Number seq.
12	123, 1432, 3214	1, 2, 5, 12, 26, 58, 131, 295
123	1234, 12543, 14325, 32145	1, 2, 6, 23, 100, 462, 2207, 10758
132	1432, 12354, 13254, 13452, 15234, 21354, 23154, 31254, 32154	1, 2, 6, 23, 95, 394, 1679, 7358

Bipartite graphs

Table: Experiments for bipartite occurrence graphs. (Up to length 8)

p	basis	Number seq.
12	123, 1432, 3214	1, 2, 5, 12, 26, 58, 131, 295
123	1234, 12543, 14325, 32145	1, 2, 6, 23, 100, 462, 2207, 10758
132	1432, 12354, 13254, 13452, 15234, 21354, 23154, 31254, 32154	1, 2, 6, 23, 95, 394, 1679, 7358

The first line follows from the fact that every cycle in $G_{12}(\pi)$ has length 3 or 4. The sequence is on OEIS. The others are not

Bipartite graphs

Table: Experiments for occurrence graphs that are forests. (Up to length 8)

p	basis	Number seq.
12	123, 1432, 2143, 3214	1, 2, 5, 11, 24, 53, 117, 258
123	1234, 12543, 13254, 14325, 21354, 21435, 32145	1, 2, 6, 23, 97, 429, 1947, 8959
132	1432, 12354, 12453, 12534, 13254, 13452, 14523, 15234, 21354, 21453, 21534, 23154, 31254, 32154	1, 2, 6, 23, 90, 359, 1481, 6260

Bipartite graphs

Table: Experiments for occurrence graphs that are forests. (Up to length 8)

p	basis	Number seq.
12	123, 1432, 2143, 3214	1, 2, 5, 11, 24, 53, 117, 258
123	1234, 12543, 13254, 14325, 21354, 21435, 32145	1, 2, 6, 23, 97, 429, 1947, 8959
132	1432, 12354, 12453, 12534, 13254, 13452, 14523, 15234, 21354, 21453, 21534, 23154, 31254, 32154	1, 2, 6, 23, 90, 359, 1481, 6260

The first line follows from the fact that every cycle in $G_{12}(\pi)$ has length 3 or 4. The sequence is on OEIS. The others are not

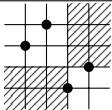
Non-hereditary properties

Table: Experiments for $G_{12}(\pi)$ satisfying non-hereditary properties. (Up to length 8)

Property	basis	Number seq.
connected		1, 2, 6, 23, 111, 660, 4656
tree	infinite non-classical basis	0, 1, 4, 9, 16, 25, 36, 49
chordal	1234, 1243, 1324, 2134, 2143	1, 2, 6, 19, 61, 196, 630, 2025
clique	1234, 1243, 1324, 1342, 1423, 2134, 2143, 2314, 2413, 3124, 3142, 3412	1, 2, 6, 12, 20, 30, 42, 56

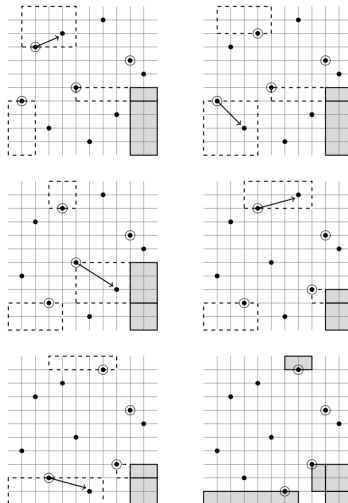
Non-hereditary properties

Table: Experiments for $G_{12}(\pi)$ satisfying non-hereditary properties. (Up to length 8)

Property	basis	Number seq.
connected		1, 2, 6, 23, 111, 660, 4656
tree	infinite non-classical basis	0, 1, 4, 9, 16, 25, 36, 49
chordal	1234, 1243, 1324, 2134, 2143	1, 2, 6, 19, 61, 196, 630, 2025
clique	1234, 1243, 1324, 1342, 1423, 2134, 2143, 2314, 2413, 3124, 3142, 3412	1, 2, 6, 12, 20, 30, 42, 56

The first line follows by staring. Also easy to count tree result

Motivation



Crushed hopes

Pattern statistics are hard: How many permutations of length n have exactly k occurrence of the pattern p ?

The end

Questions, comments?